



Technology Center 2600

**1**

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 06-013956 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 11-122212 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-013364 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-126337 is provided in the English abstract of that publication. The concise English translation is attached for Japanese non-patent reference, "An Investigation on SIR Measurement Methods in Adaptive Transmit Power Control for DS-CDMA".

((Promptness Certification or Submission Fee)  
Under 37 C.F.R. § 1.97(c))

In accordance with 37 C.F.R. § 1.97(c), a PTO 2038 Credit Card form in the amount of \$180.00 is enclosed to secure consideration of the references submitted with this Information Disclosure Statement. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

DATED August 2, 2004

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'AJL' with a stylized flourish.

ADRIAN J. LEE  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

AJL: ds  
DS0000002299V001

8-3-04

41 2667

|  |                              |                     |                        |  |                             |  |
|--|------------------------------|---------------------|------------------------|--|-----------------------------|--|
| <b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b><br>(Under 37 CFR 1.97(b) or 1.97(c))  |                              |                     |                        |  | Docket No.<br>15689.54      |  |
| In Re Application Of: Masafumi Usuda et al.  |                              |                     |                        |  |                             |  |
| Application No.<br><u>09/619,361</u>   | Filing Date<br>July 19, 2000 | Examiner<br>Unknown | Customer No.<br>022913 | Group Art Unit<br>2731   | Confirmation No.<br>Unknown |  |
| Title: <b>CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING IN CDMA MOBILE COMMUNICATION SYSTEM</b>   |                              |                     |                        |  |                             |  |
|  |                              |                     |                        | <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <b>RECEIVED</b><br/> AUG 0 9 2004 </div> |                             |  |
| Address to:<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450  |                              |                     |                        |  |                             |  |
| <b>Technology Center 2600</b>  |                              |                     |                        |  |                             |  |
| <b>37 CFR 1.97(b)</b>  |                              |                     |                        |  |                             |  |
| 1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |                              |                     |                        |  |                             |  |
| <b>37 CFR 1.97(c)</b>  |                              |                     |                        |  |                             |  |
| 2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:   |                              |                     |                        |  |                             |  |
| <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);  |                              |                     |                        |  |                             |  |
| <b>OR</b>  |                              |                     |                        |  |                             |  |
| <input checked="" type="checkbox"/> the fee set forth in 37 CFR 1.17(p).   |                              |                     |                        |  |                             |  |

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15689.54

In Re Application: Masafumi Usuda et al.

AUG 02 2004

| Application No. | Filing Date   | Examiner | Customer No. | Group Art Unit | Confirmation No. |
|-----------------|---------------|----------|--------------|----------------|------------------|
| 09/619,361      | July 19, 2000 | Unknown  | 022913       | 2731           | Unknown          |

**CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING IN CDMA  
MOBILE COMMUNICATION SYSTEM**

**RECEIVED**

AUG 09 2004

**Payment of Fee**

Technology Center 2600

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit  
account is being facsimile transmitted to the United States  
Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on  
with the U.S. Postal Service as first class  
mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

\_\_\_\_\_  
Signature of Person Mailing Correspondence

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by  
deposit account.**

  
Signature

Dated: August 2, 2004

**ADRIAN J. LEE**

**Attorney for Applicant**

**Reg. No.: 42,785**

**Customer No.: 022913**

CC:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Masafumi Usuda et al.

Docket No.

15689.54

Application No.

09/619,361

Filing Date

July 19, 2000

Examiner

Unknown

Customer No.

022913

Group Art Unit

2731

Invention: CDMA RECEPTION APPARATUS AND RECEIVED SIGNAL POWER MEASURING APPARATUS  
IN CDMA MOBILE COMMUNICATION SYSTEM

AUG 02 2004

**RECEIVED**

AUG 09 2004

Technology Center 2600

I hereby certify that the following correspondence:

(see below)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

August 2, 2004

(Date)

Adrian J. Lee

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 510294515 US

("Express Mail" Mailing Label Number)

**Note: Each paper must have its own certificate of mailing.**

Transmittal for Information Disclosure Statement (2 page; duplicate);  
Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages);  
Form PTO-1449 Listing of References (1 page);  
Legible Copy of References; (6 references)  
PTO 2038 Credit Card form for \$180.00  
Certificate of Express Mail (EV510294515US);  
Acknowledgment Postcard